CONDENSED MATTER PHYSICS

Microscopic structure and dynamics study of granular segregation mechanism by cyclic shear

Zhifeng Li1 , Zhikun Zeng1 , Yi Xing1 , Jindong Li1 , Jie Zheng1 , Qinghao Mao1 , Jie Zhang1,2, Meiying Hou3 , Yujie Wang1 *

Granular mixtures with size difference can segregate upon shaking or shear. However, the quantitative study of this process remains difficult because it can be influenced by many mechanisms. Conflicting results on similar experimental systems are frequently obtained when the experimental conditions are not well controlled, which is mainly due to the fact that many mechanisms can be at work simultaneously. Moreover, it is often that macroscopic or empirical measures, which lack microscopic physical bases, are used to explain the experimental findings and therefore cannot provide an accurate and complete depiction of the overall process. Here, we carry out a detailed and systematic microscopic structure and dynamics study of a cyclically sheared granular system with rigorously controlled experimental conditions. We find that both convection and arching effect play important roles in the segregation process in our system, and we can quantitatively identify their respective contributions.

INTRODUCTION

Granular mixtures consisting of different-size particles tend to segregate under shaking or shear, which has important implications for many industrial processes when either mixing or segregation is desired (*1*). It is also relevant to geophysical processes like landslides, avalanches, and block size distributions on asteroids (*2*, *3*). Granular segregation can be induced by size, density, friction, and inelasticity, while size remains the dominant factor (*4*–*9*). Owing to its ubiquitous existence and importance, it is crucial to gain a fundamental understanding of segregation mechanisms based on systematic experimental investigations. Continuous segregation models can subsequently be developed based on them (*10*–*12*). However, the experimental investigations of granular segregation are highly nontrivial. This is due to the facts: (i) Complex dynamics associated with shaking or shear cannot be easily visualized in three dimensions (3D). Early investigations used empirical measures like particle segregation fraction or the time needed for particle to rise to surface (*13*). These approaches were done at a cost without a deep understanding of the microscopic mechanisms (*6*). X-ray tomography, magnetic resonance imaging, and refractive index matching method have provided some great insights in 3D segregation process (*14*, *15*). However, more work is needed to combine microscopic structure and dynamics information to systematically investigate the underlying mechanisms. Until recently, most experimental investigations are limited to 2D systems; (ii) many factors and mechanisms can contribute simultaneously to the segregation process. The most wellknown factor is particle size, but density, the presence of interstitial fluid, or friction can also influence the segregation process (*4*, *7*, *16*–*18*). Even when only size disparity exists, mechanisms including arching effect (*19*, *20*), convection (*21*), and thermal gradient (*22*) have all been demonstrated to play important roles in the segregation process. By nature, any gradient in the system can lead to segregation, and all these mechanisms can induce gradients in the system in a certain

Copyright © 2021 The Authors, some rights reserved: exclusive licensee American Association for the Advancement of Science. No claim to original U.S.Government Works. Distributed under a Creative Commons Attribution **NonCommercial** License 4.0 (CC BY-NC).

way (*23*). It is therefore vital to have controlled experiments that can separate their individual contributions.

In the present study, we use x-ray tomography to investigate granular segregation in a quasi-statically sheared granular medium. When the system is under quasi-static shear and therefore particle velocity or kinetic energy is irrelevant, mechanisms like thermal diffusion (*24*, *25*) or kinetic mechanisms (*9*, *22*) can be excluded. This is in contrast to agitation by shaking in which gradients in both particle density and velocity exist. By systematically varying the tracer size, we obtain particle-level structure and dynamics. We find that convection and geometric arching mechanisms are the two major mechanisms that contribute to segregation in the dense packing and dilute tracer limit.

RESULTS

In our system, tracer particles segregate from background particles upon cyclic shear and reach steady-state distributions when extensive shear cycles are applied. This segregation process is a combining effect of several segregation mechanisms working at different time scales ranging from single to thousands of cycles. It is experimentally very costly to do tomography scans covering the full time scale. To improve experimental efficiency, we follow three specific experimental protocols to address specific time scale properties of the system and corresponding segregation mechanisms (more details can be found in Materials and Methods), which can substantially reduce the scan number needed. In the following, we show in detail how different mechanisms contribute together for the segregation process of our system.

Convection-induced global segregation

One of the major mechanisms proposed for granular segregation is convection (*21*). By tracking the trajectories of the background particles using protocol B, we can obtain the global convection pattern as shown in Fig. 1C. The convection mainly consists of particles rising in the center and moving down in thin sheets at two shear walls and in funnel-like shapes at four corners. The cross section of the downward convection shrinks continuously as depth increases. Figure 1B shows the typical height (H) trajectories for $D = 12$ -mm

¹School of Physics and Astronomy, Shanghai Jiao Tong University, 800 Dong Chuan Road, Shanghai 200240, China. ²Institute of Natural Sciences, Shanghai Jiao Tong University, Shanghai 200240, China. ³Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China. *Corresponding author. Email: yujiewang@sjtu.edu.cn

Fig. 1. Experimental setup and convection pattern. (**A**) Experimental setup. (**B**) Tracers' height trajectories as a function of shear cycle number *t*. (**C**) Flow pattern of convection. (**D**) Flow pattern of tracer particles (*D* = 12-mm) at steady state.

tracer particles as a function of shear cycle number *t* as obtained by protocol A. From the tracers' trajectories, we can compile the tracer flow field as shown in Fig. 1D. It is clear that tracers can move both up and down by following loop-like trajectories. Overall, the tracer flow field is rather similar to the background convection field. It is therefore obvious that tracers flow with the convection. However, there exist subtle differences between two flow fields as big tracers cannot penetrate as deep down in the downward convection as the background particles. This is due to the fact that big tracers are unable to follow the downward convection flow as its cross section shrinks with depth and can only reach certain maximal penetration depth due to the competition between the downward and upward convection flows. We analyze this competing effect by cutting out cross sections of the tracer size around four corners and obtain the depth at which zero net convection flux occurs, as shown in Fig. 2G (blue line). We also follow the trajectories of tracer particles using protocol A and identify their maximal penetration depths that they can reach (Fig. 2G, red symbols). We find that they are consistent with the depths calculated by convection flux analysis. This naturally explains the formation of smaller convection rolls of tracer particles as they will be carried up again by the upward convection after reaching their respective maximal penetration depth. In addition, the tracer convection rolls will be mainly confined to four corners instead of traversing the whole volume. This phenomenon is more marked as we increase the tracer size. Figure 2 (A to F) shows the snapshots of different-size tracers' positions in the shear chamber when they have reached their respective global steady-state distributions. It is clear that tracers are now mainly trapped within their individual convection rolls, with smaller tracers penetrating deeper,

while bigger tracers remain at the surface, because their sheer sizes will prevent them from entering the downward convection roll. Figure 2H shows the probability distribution functions of different-size tracer particles as a function of depth (H ₀ − *H*, H ₀ is the surface height) at steady states. It clearly demonstrates that convection itself can contribute to particle segregation. However, it is also clear that simple distributions will bring little insight on the mechanism of particle segregation.

Local segregation

It is interesting to see whether convection is the only mechanism for segregation in our system. Knight *et al.* (*21*) observed that particles with different sizes all rise with the same speed. Vanel *et al.* (*26*), on the other hand, suggested that the tracer rises faster when the size is bigger. As shown in Fig. 3A, we monitor the heights of the center of mass (COM) for all tracer particles as a function of shear cycle number *t*. The heights of COM for different-size tracers will reach steady state after about several hundred shear cycles. However, we can also notice that the COMs of large tracers rise faster than smaller ones at the beginning stage. The corresponding speeds v_{COM} (in units of *d* per cycle) are shown in Fig. 3B. This result implies that larger tracers could move up faster than smaller ones. One thing worth noting is that we can even observe a much slower segregation happening within the background particles as the height of COM of 5-mm particles decreases slowly over time. Because COM is a global average including tracers moving downward, the information that it carries could be ambiguous. Therefore, we analyze the relative motion between the tracer and its neighboring background particles. The analysis is carried out only during the period when

Fig. 2. Snapshots of different tracer particles at their respective steady states. (A to F) Snapshots of particle positions of (A) 8-, (B) 10-, (C) 12-, (D) 14-, (E) 16-, and (F) 24-mm tracers at steady states. (**G**) Maximal penetration depth of tracer particles. The maximal depth that different-size tracers can reach calculated by convection flux analysis (blue line) and the experimentally observed results, which are averaged among hundreds of tracer particles for consecutive 1500 shear cycles (red symbols). (**H**) Probability distribution functions (PDF) of tracer particles as a function of depth at steady states.

the height of COM is still increasing and only for tracers that are moving upward. Using protocol B, we obtain the height trajectories of 16 *D* = 12-mm (Fig. 3C) and 4 *D* = 24-mm (Fig. 3D) tracers as a function of shear cycle number *t* and their corresponding relative height trajectories after subtraction of the average vertical displacements of their neighboring background particles within a distance of 2*d*. The results are shown in the top and bottom insets of Fig. 3 (C and D, respectively). The main panels of Fig. 3 (C and D) show the average behavior of all tracers. It is found that even after subtraction, the tracers still have some net upward speeds as compared to their neighbors. The speed is larger for $D = 24$ -mm tracers than that of *D* = 12-mm ones. It is clear that this relative speed comes from a different origin than convection. We denote *v* as the tracer's vertical speed and $\langle v_{\text{nei}} \rangle$ as the average vertical speed of its neighbors within a distance of 2*d*. The normalized speeds of different-size tracers $v/\langle v_{\text{nei}}\rangle$ are plotted in Fig. 3B. It is, in general, consistent with the COM behaviors. Because we are in a quasi-static shear regime where particle kinetic energy is clearly irrelevant (*24*), and the relative displacements happen with the tracers' immediate neighbors, we have to find some local cause for this relative motion.

Archimedean force

We first exclude the possibility of Archimedean buoyancy force. Specifically, we calculate the local volume fraction $\phi = v_{\rm g}/v_{\rm voro}$ of each particle based on radical Voronoi tessellation, where v_g and *v*voro are its respective volume and Voronoi cell volume (*27*). We find that tracers have much larger ϕ than those of the background particles, e.g., $\phi = 0.88$ for $D = 12$ -mm tracers and $\phi = 0.61$ for their neighbors. This observation is a direct proof of the irrelevancy of the Archimedean force because its effect, in principle, should lead the tracers to sink relative to their neighbors. This is contrary to the case in dilute system limit, where an effective buoyancy force might play a major role based on kinetic theory calculations (*28*).

Local gradient and arching effect

We further search for certain gradients in structure around the tracer that can lead to local segregation. As shown in Fig. 4B, we calculate the average local volume fraction ϕ for particles within 4*d* of *D* = 8-, 12-, and 24-mm tracers. The particles in the lower half have a lower ϕ than that of the upper half (e.g., for *D* = 12-mm, the lower half ϕ = 0.593 and the upper half $\phi = 0.604$), and the difference increases as the tracer size increases. This up-down asymmetry and its trend are also apparent in the contact distribution on the tracers. As shown in Fig. 4A, the average contact number Z of a $D = 12$ -mm tracer is about 15. However, it is not evenly distributed in the upper- and lower-half hemispheres. The average contact number *Z* of upper hemisphere is 8.41, and that of the lower hemisphere is 6.56. This clear uneven distribution of ϕ and Z reminds us of the arch segregation mechanism (*20*): Owing to the presence of gravity and friction, granular particles can form arches or bridge structures that are collective structures where neighboring grains rely on each other for mutual mechanical support. The bridges are normally dome like, which will induce an up-down density asymmetry by shielding cavities or less dense regimes underneath them (*29*, *30*). When cyclic shear is applied, the big tracers are supported by the arch structures, while small background particles percolate into the voids or lower-density regimes underneath them. This will result in a net upward displacement of the tracers versus their neighbors after one shear cycle. The details of this local segregation process can be elucidated by monitoring the dynamics and structure evolution of one 30-mm tracer and its neighboring particles within one shear cycle by protocol C and explained by the combined effect of bridge or arch structures (see Materials and Methods for identification method of arch or bridge structures), density asymmetry, and particle local flow dynamics. As shown in Fig. 5B (ii), during the first one-fourth shear cycle when the system is sheared rightward, the system is stretched in the

Fig. 3. Height trajectories and segregation speeds of tracer particles. (**A**) Heights of the COMs for different-size particles as a function of shear cycle number *t*. Inset: All curves can be fitted by $H/d = A \exp(-t_0/t) + C$, where t_0 is the intrinsic time scale of different-size particles to reach the steady states. All curves can collapse after rescaling with t_0 . (B) Average speeds of the COMs v_{COM} (red, left axis) and the average normalized speeds v/v_{nei}) (blue, right axis) for different-size particles. (C and D) Height trajectories of 16 *D* = 12-mm and 4 *D* = 24-mm tracer particles as a function of shear cycle number *t*. The top and bottom insets show the absolute (red) and relative (blue) height trajectories of the tracer particles before and after subtraction of the neighboring particles' vertical displacements.

AC direction and compressed along the BD direction. Mechanically rigid arch or bridge structures are formed preferentially along the BD direction, which can prevent the tracer from sinking, while the relative volume fraction ϕ_{rela} in the lower right corner along the AC direction decreases gradually to form a mechanically unstable regime (Fig. 5A, i and ii). ϕ_{rela} is defined as $\phi_{\text{rela}} = \phi - \langle \phi \rangle$ to remove the influence of global shear dilation, where $\langle \phi \rangle$ is the average volume fraction in bulk region (2*d* away from the boundary of the shear box). Background particles tend to be fluidized to fill this corner, which generates their downward displacements relative to the big tracer along the AC direction. In the second one-fourth shear cycle, the compression direction switches to the AC direction and along which new bridge structures are gradually formed. The already filled-in particles push the tracer along the upward AC direction because they are stuck in bridge structures and are difficult to return to their original positions before fluidization. Therefore, after the first half shear cycle, the tracer acquires a net displacement along the upward AC direction relative to its neighbors. During the second half shear cycle, the behavior of the tracer and its neighbors is analogous, which induces a net displacement of the tracer along the upward BD direction. Consequently, this void-filling mechanism at the two lower corners (Fig. 5C, i to iii) will lead to the vertical zigzag upward displacements of the tracer particle upon shear.

Although this mechanism works for all tracers, we find that larger tracers can sustain stronger arch structures than smaller ones. This is owning to the fact that background particles are mostly involved in simple linear bridge structures whose backbones do not have loops

or branches. The large tracer, however, will turn the bridge structures containing it into a complex one because it can simultaneously mechanically support or be supported by many of its contact neighbors and therefore be part of many bridges. This will substantially extend the spatial extension and size of the bridge structure containing it as compared to the ones formed by background particles only. The larger the tracer, the more marked the effect is. Therefore, larger tracer particles will induce more pronounced up-down density asymmetry around them as compared to smaller ones, as shown in Fig. 4B. This could lead to bigger fluidization of neighboring particles that explains why larger tracers move faster than smaller ones. Contrary to the geometric model (*19*, *20*) originally proposed, in our system, there does not exist a size ratio threshold; when below this threshold, the local segregation ceases to exist (*19*, *20*). Nevertheless, as shown in Fig. 3B, the local segregation speed increases as the tracer size increases, and it saturates around $D = 18$ -mm, which is roughly consistent with threshold size ratio 2.8 predicted (*19*). This indicates a transition to a limiting behavior where the background particles can be considered as a continuous medium. However, the existence of local segregation below this threshold points to important collective effects that are crucial for the formation of arches and might not be taken into account properly in previous simulations (*19*, *20*), as suggested in (*31*). *Global density gradient and depletion interactions*

We note that another possibility for segregation is that there exists a global density gradient in the system. As shown in Fig. 4C, the gradient is indeed present. However, it is too small at the particle length scale to have a dominant effect over the arching effect. Until

Fig. 4. Up-down asymmetry of *Z* **and around the tracer particles, global density gradient, and the pair correlation function of tracer particles.** (**A**) PDF of contact number in the upper and lower hemispheres for 8-, 12-, and 24-mm tracers. (**B**) Average volume fraction distribution of background particles within 4*d* distance to 8-, 12-, and 24-mm tracers that show the up-down asymmetry. (**C**) Average volume fraction of the system as a function of depth. (**D**) Pair correlation function between 200 12-mm tracers.

now, we have implicitly assumed that our system is in the dilute tracer limit. However, when tracer number density is high, potential depletion interactions among the tracers can occur (*32*), and they can even form a rigid matrix for the small background particles to sieve through (*11*). To investigate whether there exist correlations among tracers, we also reduce the tracer number from 200 to 20 in the *D* = 12-mm case in protocol A. We do not observe any notable differences between the two experiments. We also calculate the pair correlation function $g(r)$ of the tracers, as shown in Fig. 4D, and find that it is hard sphere like, thus excluding the existence of substantial attractive depletion interactions. This can also be justified by the fact that in most of our experiments, the tracer particles are spaced on average by 4*d* at which distance *g*(*r*) is already featureless.

Friction effect

Although we do not systematically investigate the effect of friction, we notice that friction plays an important role in our experiment (*17*). When the experiment is performed over very long periods, the friction coefficient μ of particles reduces from 0.434 to 0.409 [by gluing three particles to make a sledge, μ is measured by the start sliding angle of the sledge on a tilted smooth plastic Acrylonitrile Butadiene Styrene platic (ABS) plate, the same material as the background particles], and the convection speed decreases from 0.08 to 0.0033 *d*/cycle, which substantially slows down the segregation rate. This is reasonable because it has been found before that both the convection strength and the arching effect are positively correlated with interparticle (*17*, *33*–*35*) and particle-wall friction coefficients (*36*–*38*). To avoid this problem caused by long periods of shear, we have always

replaced ABS particles with new batches after reasonable amount of shear cycles and obtain the results shown in current study.

DISCUSSION

To summarize, we study the segregation process inside a bidisperse granular system under quasi-static cyclic shear and find that convection and arching effect are the two major mechanisms for segregation in our system. This result is important in the sense that it is obtained under rigorously controlled experimental conditions and provides quantitative microscopic information of the granular segregation process. In previous experimental studies, because any type of vertical gradient in a granular system can cause segregation, it is often the case that contributing factors like size disparity, density, friction, shape, interstitial fluid, restitution coefficient, and energy input method are at work simultaneously, which leads to rich and seemingly conflicting results when experimental conditions are not well controlled. Moreover, these studies normally only use some macroscopic or empirical measures to characterize the segregation process, which lack microscopic physical bases, and therefore cannot provide an accurate and complete depiction of the overall process. These aforementioned issues have made the field progress at a slow pace. In the current work, we carry out a detailed and systematic microscopic structure and dynamics study of a quasi-statically sheared granular system with rigorously controlled experimental conditions, provide the relevant microscopic mechanism for segregation, and clearly separate their respective contributions, which should pave the way for future quantitative study of granular segregation processes.

Fig. 5. Arching effect and fluidization around tracers within one cycle. (**A**) (i and ii) Relative volume fraction ϕ_{rela} around the tracer at $\gamma = 0$ and $\gamma = 0.33$. (iii) Variation of ϕ_{rela} around the tracer $\Delta\phi_{rela} = \phi_{rela, \gamma} = 0.33 - \phi_{rela, \gamma} = 0$ between $\gamma = 0.33$ and $\gamma = 0$ during the first one-fourth shear cycle. (**B**) (i) Complex bridge structure containing the tracer when the system is sheared rightward. The orientation of the complex bridge structure is defined as the orientation of the principal axis of its inertia tensor with the maximum eigenvalue. θ_{bridge} is the angle between the orientation of the bridge structure and *z* axis on the *xz* plane. (ii) Schematic diagram of rightward shear. (iii) Evolution of $\theta_{\rm bridge}$ during the first half shear cycle. When shear is reversed, θ_{bridge} changes its direction from BD ($\theta_{\text{bridge}} > 0$) to AC ($\theta_{\text{bridge}} < 0$). (**C**) (i) Background particles around the tracer at the initial state (5- and 6-mm particles are colored by red and blue). (ii) Same particles as the left panel after 30 shear cycles. The green arrows represent the preferred directions that particles flow relative to the tracer. (iii) Trajectory of the tracer particle acquired at the interval of half shear cycle. The zigzag shape demonstrates the asymmetric arch effect due to shear.

MATERIALS AND METHODS

Experimental sample and setup

The schematic design of the experimental setup is shown in Fig. 1A. The rectangular shear box is made of plexiglass plates and has a size of 120 mm (*L*) by 120 mm (*W*) by 140 mm (*H*). The front and back plates are permanently fixed on the apparatus base. Two side plates and one bottom plate form a deformable U-shaped structure, where the upper edges of the two side plates are bolted on vertical slots on the front and back plates and the lower edges are connected with the bottom plate through hinges. When step motor drives the bottom plate to translate horizontally, the U-shaped structure will deform and generate shear on the system.

The background particles consist of 5- and 6-mm-diameter ABS $(p = 1.0 \text{ g cm}^{-3})$ beads with 7000 of each. The particles fill the chamber to a height of $H = 13.5$ cm with a free upper boundary, and the particles are well mixed to prevent crystallization. We denote by $d = 6$ -mm

the size of the larger background particle. The tracers are of the same material and surface properties as the background particles with diameters of *D* = 8-, 10-, 12-, 14-, 16-, 18-, 24-, and 30-mm, respectively.

Cyclic shear is applied with a strain amplitude of $\gamma = 0.33$ and a shear rate of $\dot{\gamma} = 0.33/s$. The corresponding inertial number is $I =$ Cyclic shear is applied with a strain amplitude of $\gamma = 0.33$ and a shear rate of $\gamma = 0.33/s$. The corresponding inertial number is $I = \gamma d/\sqrt{P/\rho} = 1.8 \times 10^{-3}$, therefore ensuring the shear quasi-static. We estimate the pressure by $P = \phi \rho g H$, with the volume fraction $\phi =$ 0.6. Upon cyclic shear, the bottom plate first moves in one direction and tilts the shear box into a parallelepiped until a target shear strain is reached. Then, the shear direction is reversed, tilting the shear box into a symmetric parallelepiped shape with the same target shear strain in the opposite direction. Last, the shear is reversed again, and the shear box is returned to its original geometry to complete one full shear cycle.

The 3D structural evolution of the granular particles inside the shear box upon cyclic shear is acquired by a computed tomography (CT) scanner (UEG Medical Imaging Equip. Co. Ltd.). The spatial resolution of the CT scan is 0.2 mm. Following the similar image processing techniques as described in previous studies (*39*), coordinates and size of each particle can be obtained with an error less than $3 \times 10^{-3}d$. Once we acquire the coordinates and size of each particle, we can obtain their trajectories through a tracking algorithm by analyzing consecutive CT scans: A particle in the first scan is considered to be the same particle in the second scan that has the closest spatial location to it. This tracking algorithm works only if the typical displacements of particles are much less than the average interparticle distance. In practice, to track the background particles, the particle displacements have to be less than half of the background particle diameter.

Experimental protocol

Different experimental protocols are used to address different time scale properties of the system as well as different segregation mechanisms. Specifically, the following three experimental protocols are adopted.

Protocol A

We use protocol A to track the tracers' positions in the convection rolls on the time scale of tens to thousands of shear cycles. It is necessary to follow the tracers' trajectories for a long period of time because we need the tracers to reach a global steady-state distribution for us to investigate convection-induced segregation effect.

We deposit tracers with one specific size into the bidisperse background particles when we first prepare the system. The tracers are carefully deposited into two layers at specific depths, which are about 2 cm away from the bottom and the surface, respectively. To maintain a roughly same total volume of the system for different tracers, we fix the number of background particles and change the number of tracer particles accordingly. Specifically, *N*8 mm = 642, *N*10 mm = 294, $N_{12 \text{ mm}} = 200, N_{14 \text{ mm}} = 134, N_{16 \text{ mm}} = 74, N_{18 \text{ mm}} = 62, \text{ and } N_{24 \text{ mm}} = 24$ tracer particles are used. One CT scan is taken for every 30 consecutive shear cycles, and a total of 100 CT scans are taken for each tracer size. The tracers' trajectories can be tracked because they are spatially separated from each other, while those of the background particles cannot.

Protocol B

The local segregation mechanism happens at the time scale of single shear cycle level. We use protocol B to track the trajectories of both the tracers and their neighboring background particles for every shear cycle.

Similar to protocol A, tracers with specific size are used. For different experiments, $N_{8 \text{ mm}} = 135$, $N_{10 \text{ mm}} = 70$, $N_{12 \text{ mm}} = 40$, $N_{14 \text{ mm}} = 25$, $N_{16 \text{ mm}} = 17$, $N_{18 \text{ mm}} = 12$, $N_{20 \text{ mm}} = 9$, $N_{24 \text{ mm}} = 5$, and $N_{30 \text{ mm}}$ = 3 tracers are used, and they are deposited randomly inside background particles. After deposition, we take one CT scan after each shear cycle, and a total of 200 CT scans are taken for each tracer size.

In this protocol, in the bulk region where particles are at least 2*d* away from the boundary of the shear box, the displacements of all particles after one shear cycle are less than 1/2*d*, and therefore, all particles' trajectories can be tracked. Close to shear box boundary, because particle convection speeds are substantially larger than those in the bulk region, their trajectories cannot be tracked.

Protocol C

Using protocol B, we can establish a strong correlation between the arch-induced up-down volume fraction ϕ asymmetry around tracers and the local segregation speed, which suggests the importance of a void-filling mechanism for local segregation. However, the specific mechanism that void filling works in 3D to induce segregation needs to be clarified. In protocol C, a single-step shear experiment of one shear cycle is carried out in which we analyze the evolution of volume fraction, bridge structure, and local flow dynamics around the tracers within one shear cycle to demonstrate how the existence of arches can lead to local segregation. To monitor the structure evolution and dynamics within one shear cycle, we divide one shear cycle into 160 shear steps and take a CT scan after each shear step. We deposit one 30-mm tracer particle initially in the middle of the *xy* plane at the bottom of the shear box. Then, we apply a 50 cycles' shear to prepare the system and also through which to move the tracer particle to a height of around 10*d* from the bottom. Subsequently, we carry out single-step shear experiment for 30 consecutive shear cycles. In this protocol, owing to the small shear step, the displacements of all particles are less than 1/3*d* and all particles can be tracked.

Identification ofthe bridge structure

We follow standard procedures to identify bridge structures in our system (*40*). To identify bridges, the contacts between particles have to be determined first because bridges are, by definition, collective structures where neighboring grains mechanically support each other through contacts. Two particles that are in real contacts should, in principle, have zero surface to surface distance. However, they can be misidentified as either penetrating into or having a gap from each other (see fig. S1A) because of experimental uncertainties resulting from, e.g., finite x-ray spatial resolution, particle asphericity, and artifacts of image processing procedures. We follow standard procedures to tackle this problem and determine the particle contacts in our system by complementary error function fitting procedure (see fig. S1B) (*41*). Once the contact network is identified, the next critical step is the identification of the force-bearing neighbors among all contact ones, from which the bridges can be determined: A mechanically stable particle under gravity is generally considered to be supported by a base of three contact neighbors, with the requirement that the projection of the particle's COM falls within the triangle formed by three base particles. As there exist many possible combinations of three particles satisfying the support-base requirements above, we identify the effective base by using the standard "lowest center of mass" method, which chooses the support base as the one having the lowest average centroid among all possible bases

(*40*). The particles in the base that are mutually supportive for each other form the bridge structure. Our identification of arch or force-bearing structures using the bridge concept is consistent with our experimental observations of local segregation process, which justifies above analysis.

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at [http://advances.sciencemag.org/cgi/](http://advances.sciencemag.org/cgi/content/full/7/8/eabe8737/DC1) [content/full/7/8/eabe8737/DC1](http://advances.sciencemag.org/cgi/content/full/7/8/eabe8737/DC1)

REFERENCES AND NOTES

- 1. P. B. Umbanhowar, R. M. Lueptow, J. M. Ottino, Modeling segregation in granular flows. *Annu. Rev. Chem. Biomol. Eng.* **10**, 129–153 (2019).
- 2. C. G. Johnson, B. P. Kokelaar, R. M. Iverson, M. Logan, R. G. La Husen, J. M. N. T. Gray, Grain-size segregation and levee formation in geophysical mass flows. *J. Geophys. Res.* **117**, F01032 (2012).
- 3. S. Matsumura, D. C. Richardson, P. Michel, S. R. Schwartz, R.-L. Ballouz, The Brazil nut effect and its application to asteroids. *Mon. Not. R. Astron. Soc.* **443**, 3368–3380 (2014).
- 4. A. P. J. Breu, H.-M. Ensner, C. A. Kruelle, I. Rehberg, Reversing the Brazil-nut effect: Competition between percolation and condensation. *Phys. Rev. Lett.* **90**, 014302 (2003).
- 5. M. P. Ciamarra, M. D. De Vizia, A. Fierro, M. Tarzia, A. Coniglio, M. Nicodemi, Granular species segregation under vertical tapping: Effects of size, density, friction, and shaking amplitude. *Phys. Rev. Lett.* **96**, 058001 (2006).
- 6. M. Schröter, S. Ulrich, J. Kreft, J. B. Swift, H. L. Swinney, Mechanisms in the size segregation of a binary granular mixture. *Phys. Rev. E* **74**, 011307 (2006).
- 7. N. Burtally, P. J. King, M. R. Swift, Spontaneous air-driven separation in vertically vibrated fine granular mixtures. *Science* **295**, 1877–1879 (2002).
- 8. K. Liffman, K. Muniandy, M. Rhodes, D. Gutteridge, G. Metcalfe, A segregation mechanism in a vertically shaken bed. *Granul. Matter* **3**, 205–214 (2001).
- 9. D. C. Hong, P. V. Quinn, S. Luding, Reverse Brazil nut problem: Competition between percolation and condensation. *Phys. Rev. Lett.* **86**, 3423–3426 (2001).
- 10. J. M. N. T. Gray, Particle segregation in dense granular flows. *Annu. Rev. Fluid Mech.* **50**, 407–433 (2018).
- 11. K. van der Vaart, P. Gajjar, G. Epely-Chauvin, N. Andreini, J. M. N. T. Gray, C. Ancey, Underlying asymmetry within particle size segregation. *Phys. Rev. Lett.* **114**, 238001 (2015)
- 12. L. B. H. May, L. A. Golick, K. C. Phillips, M. Shearer, K. E. Daniels, Shear-driven size segregation of granular materials: Modeling and experiment. *Phys. Rev. E* **81**, 051301 (2010).
- 13. M. E. Möbius, B. E. Lauderdale, S. R. Nagel, H. M. Jaeger, Size separation of granular particles. *Nature* **414**, 270 (2001).
- 14. E. E. Ehrichs, H. M. Jaeger, G. S. Karczmar, J. B. Knight, V. Y. Kuperman, S. R. Nagel, Granular convection observed by magnetic resonance imaging. *Science* **267**, 1632–1634 (1995).
- 15. M. Harrington, J. H. Weijs, W. Losert, Suppression and emergence of granular segregation under cyclic shear. *Phys. Rev. Lett.* **111**, 078001 (2013).
- 16. M. E. Möbius, X. Cheng, P. Eshuis, G. S. Karczmar, S. R. Nagel, H. M. Jaeger, Effect of air on granular size separation in a vibrated granular bed. *Phys. Rev. E* **72**, 011304 (2005).
- 17. S. Ulrich, M. Schröter, H. L. Swinney, Influence of friction on granular segregation. *Phys. Rev. E* **76**, 042301 (2007).
- 18. X. Yan, Q. Shi, M. Hou, K. Lu, C. K. Chan, Effects of air on the segregation of particles in a shaken granular bed. *Phys. Rev. Lett.* **91**, 014302 (2003).
- 19. R. Jullien, P. Meakin, A. Pavlovitch, Three-dimensional model for particle-size segregation by shaking. *Phys. Rev. Lett.* **69**, 640–643 (1992).
- 20. J. Duran, J. Rajchenbach, E. Clément, Arching effect model for particle size segregation. *Phys. Rev. Lett.* **70**, 2431–2434 (1993).
- 21. J. B. Knight, H. M. Jaeger, S. R. Nagel, Vibration-induced size separation in granular media: The convection connection. *Phys. Rev. Lett.* **70**, 3728–3731 (1993).
- 22. S. B. Savage, C. K. K. Lun, Particle size segregation in inclined chute flow of dry cohesionless granular solids. *J. Fluid Mech.* **189**, 311–335 (1988).
- 23. A. Kudrolli, Size separation in vibrated granular matter. *Rep. Prog. Phys.* **67**, 209–247 (2004).
- 24. N. Shishodia, C. R. Wassgren, Particle segregation in vibrofluidized beds due to buoyant forces. *Phys. Rev. Lett.* **87**, 084302 (2001).
- 25. Y. Cao, X. Zhang, B. Kou, X. Li, X. Xiao, K. Fezzaa, Y. Wang, A dynamic synchrotron X-ray imaging study of effective temperature in a vibrated granular medium. *Soft Matter* **10**, 5398–5404 (2014).
- 26. L. Vanel, A. D. Rosato, R. N. Dave, Rise-time regimes of a large sphere in vibrated bulk solids. *Phys. Rev. Lett.* **78**, 1255–1258 (1997).
- 27. C. H. Rycroft, Voro++: A three-dimensional Voronoi cell library in C++. *Chaos* **19**, 041111 (2009).
- 28. L. Trujillo, H. J. Herrmann, Hydrodynamic model for particle size segregation in granular media. *Physica A* **330**, 519–542 (2003).
- 29. A. Mehta, G. C. Barker, J. M. Luck, Heterogeneities in granular dynamics. *Proc. Natl. Acad. Sci. U.S.A.* **105**, 8244–8249 (2008).
- 30. Y. X. Cao, B. Chakrabortty, G. C. Barker, A. Mehta, Y. J. Wang, Bridges in three-dimensional granular packings: Experiments and simulations. *Europhys. Lett.* **102**, 24004 (2013).
- 31. G. C. Barker, A. Mehta, M. J. Grimson, Comment on "Three-dimensional model for particle size segregation by shaking". *Phys. Rev. Lett.* **70**, 2194 (1993).
- 32. D. A. Sanders, M. R. Swift, R. M. Bowley, P. J. King, Are Brazil nuts attractive? *Phys. Rev. Lett.* **93**, 208002 (2004).
- 33. T. Elperin, E. Golshtein, Effects of convection and friction on size segregation in vibrated granular beds. *Physica A* **247**, 67–78 (1997).
- 34. A. Džiugys, R. Navakas, The role of friction in mixing and segregation of granular material. *Granul. Matter* **11**, 403–416 (2009).
- 35. J. Lee, Heap formation in two-dimensional granular media. *J. Phys. A Math. Gen.* **27**, L257–L262 (1994).
- 36. E. L. Grossman, Effects of container geometry on granular convection. *Phys. Rev. E* **56**, 3290–3300 (1997).
- 37. J. B. Knight, External boundaries and internal shear bands in granular convection. *Phys. Rev. E* **55**, 6016–6023 (1997).
- 38. X. Song, G. Zhang, The role of the friction coefficients in the granular segregation in small systems. *Powder Technol.* **372**, 40–47 (2020).
- 39. C. Xia, J. Li, Y. Cao, B. Kou, X. Xiao, K. Fezzaa, T. Xiao, Y. Wang, The structural origin of the hard-sphere glass transition in granular packing. *Nat. Commun.* **6**, 8409 (2015).
- 40. A. Mehta, G. C. Barker, J. M. Luck, Cooperativity in sandpiles: Statistics of bridge geometries. *J. Stat. Mech Theory Exp.* **2004**, P10014 (2004).
- 41. T. Aste, M. Saadatfar, T. J. Senden, Geometrical structure of disordered sphere packings. *Phys. Rev. E* **71**, 061302 (2005).

Acknowledgments: We would like to acknowledge discussions with W. Kob. **Funding:** The work is supported by the National Natural Science Foundation of China (nos. 11974240, 11675110, and U1738120) and the Shanghai Science and Technology Committee (no. 19XD1402100). **Author contributions:** Y.W. designed the research. Z.L., J.Zhe., Q.M., J.Zha., M.H., and Y.W. performed the experiment. Z.L., Z.Z., Y.X., J.L., and Y.W. analyzed the data and wrote the paper. **Competing interests:** The authors declare that they have no competing interests. **Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data related to this paper may be requested from the authors.

Submitted 19 September 2020 Accepted 4 January 2021 Published 17 February 2021 10.1126/sciadv.abe8737

Citation: Z. Li, Z. Zeng, Y. Xing, J. Li, J. Zheng, Q. Mao, J. Zhang, M. Hou, Y. Wang, Microscopic structure and dynamics study of granular segregation mechanism by cyclic shear. *Sci. Adv.* **7**, eabe8737 (2021).

Science Advances

shear Microscopic structure and dynamics study of granular segregation mechanism by cyclic

Zhifeng Li, Zhikun Zeng, Yi Xing, Jindong Li, Jie Zheng, Qinghao Mao, Jie Zhang, Meiying Hou and Yujie Wang

DOI: 10.1126/sciadv.abe8737 Sci Adv **7** (8), eabe8737.

Use of this article is subject to the [Terms of Service](http://www.sciencemag.org/about/terms-service)

York Avenue NW, Washington, DC 20005. The title *Science Advances* is a registered trademark of AAAS. Science Advances (ISSN 2375-2548) is published by the American Association for the Advancement of Science, 1200 New

License 4.0 (CC BY-NC). Science. No claim to original U.S. Government Works. Distributed under a Creative Commons Attribution NonCommercial Copyright © 2021 The Authors, some rights reserved; exclusive licensee American Association for the Advancement of